

Sheet 1 of 1

| FORM PTO 1449 (modified)  |                           | ATTY DOCKET NO.<br>2001_0515A  |        | SERIAL NO.<br>NEW 09/830338 |       |          |                            |
|---|---------------------------|--|--------|-----------------------------|-------|----------|----------------------------|
| U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                    |                           | APPLICANT<br>Johé IKEDA et al.   |        |                             |       |          |                            |
| LIST OF REFERENCES CITED BY APPLICANT(S)<br>(Use several sheets if necessary) |                           | FILING DATE<br>April 26, 2001  |        | GROUP                       |       |          |                            |
| Date Submitted to PTO: April 26, 2001   |                           | U.S. PATENT DOCUMENTS  |        |                             |       |          |                            |
| EXAMINER INITIAL  |                           | DOCUMENT NUMBER  | DATE   | NAME                        | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|   | AA                        |  |        |                             |       |          |                            |
|   | AB                        |  |        |                             |       |          |                            |
|   | AC                        |  |        |                             |       |          |                            |
|   | AD                        |  |        |                             |       |          |                            |
|   | AE                        |  |        |                             |       |          |                            |
|   | AF                        |  |        |                             |       |          |                            |
|   | AG                        |  |        |                             |       |          |                            |
|   | AH                        |  |        |                             |       |          |                            |
|   | AI                        |  |        |                             |       |          |                            |
| FOREIGN PATENT DOCUMENTS  |                           |  |        |                             |       |          |                            |
|   |                           | DOCUMENT NUMBER  | DATE   | COUNTRY                     | CLASS | SUBCLASS | TRANSLATION YES NO         |
| <i>mg</i>   | AJ                        | 97/26331   | 7/1997 | WO                          |       |          |                            |
|   | AK                        |  |        |                             |       |          |                            |
|   | AL                        |  |        |                             |       |          |                            |
|   | AM                        |  |        |                             |       |          |                            |
|   | AN                        |  |        |                             |       |          |                            |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)      |                           |  |        |                             |       |          |                            |
| <i>mg</i>   | AO                        | Biological Abstracts, No. 19979959887, D. G. Xu et al., "Distribution of Neuronal Apoptosis Inhibitory Protein-Like Immunoreactivity in the Rat Central Nervous System", & Journal of Comparative Neurology, 1997, Vol. 382, No. 2, pp. 247-259. |        |                             |       |          |                            |
| <i>✓</i>  | AP                        | Natalie Roy et al., "The Gene for Neuronal Apoptosis Inhibitory Protein is Partially Deleted in Individuals with Spinal Muscular Atrophy", Cell, 1995, Vol. 80, No. 1, pp. 167-178.  |        |                             |       |          |                            |
|   | AQ                        |  |        |                             |       |          |                            |
| EXAMINER <i>Mark S</i>  | DATE CONSIDERED 3-30-2005 |  |        |                             |       |          |                            |